



PATENT
ATTORNEY DOCKET NO.: 46601-5066



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

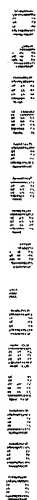
**TRANSMITTAL FOR A NEWLY EXECUTED ORIGINAL APPLICATION
UNDER 37 C.F.R. §1.53(b)**

This is a request for filing a patent application under 37 C.F.R. §1.53(b) for:

Inventors: Junichi MATSUNOSHITA

For: Image Processing Apparatus

1. This is a new ☒ **Utility** ☐ **Design** ☐ **Plant** patent application.
2. The papers enclosed to obtain a filing date are as follows:
30 Pages of Specification including
0 Title Page
2 Pages of Claims
1 Page(s) of Abstract
15 Sheets of drawings containing 20 Figures
☐ The enclosed drawing(s) are photograph(s), and there is also attached a
PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)
3. Combined Declaration and Power of Attorney
☒ Enclosed and is executed by all inventors.
☐ Not Enclosed.
This application is being filed under the provisions of 37 C.F.R. §1.53(f).
Applicant(s) await notification from the Patent and Trademark Office of the time
set for filing the Declaration and paying the filing fees.



4. Language

☒ English

☐ Non-English

This application is being filed in accordance with 37 C.F.R. §1.52(d) and §608.01 of the MPEP. Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the verified English translation and the processing fee.

5. Assignment

☒ An assignment of the invention to Fuji Xerox Co., Ltd. and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

☐ An assignment will be filed at a later date.

6. Priority - foreign applications under 35 U.S.C. §119(a)-(d) or §365(b) or PCT international applications under 35 U.S.C. §365(a) designating at least one country other than the U.S.

☒ Priority of the following foreign application(s) is claimed:

Country	Application No.	Filed
Japan	11-309149	October 29, 1999

Certified copy(ies): ☐ is/are attached. ☒ will follow.

7. Priority based on provisional application(s) - 35 U.S.C. §119(e)

☐ Priority of the following provisional application(s) is claimed:

Application No.	Filed

A. Relate Back - 35 U.S.C. §119(e)

- ☐ Amend the specification by inserting before the first line the sentence:
 "This application claims priority of copending provisional application(s)
 No. _____ filed on _____."

8. Small entity status

- ☐ A statement claiming small entity status under 37 C.F.R. §§1.9 and 1.27 is enclosed.

9. Fee Calculation (37 C.F.R. §1.16)

CLAIMS FOR FEE CALCULATION				
	Number Filed	Number Extra	at Rate of	Basic Fee Utility \$690.00 Design \$310.00
Total Claims (37 C.F.R. §1.16(c))	9 - 20 =		\$ 18.00 each=	+
Independent Claims (37 C.F.R. §1.16(b))	1 - 3 =		\$ 78.00 each=	+
Multiple dependent claim(s), if any (37 C.F.R. §1.16(d))			\$260.00	+
SUB-TOTAL =				690.00
Reduction by 1/2 for filing by a small entity				- \$
TOTAL FILING FEE =				\$690.00

10. Fee Payment

- ☐ Not Enclosed. **NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.**
 This application is being filed under the provisions of 37 C.F.R. §1.53(f).
 Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.
- ☒ Enclosed.
 Two checks in the amount of \$ 690.00 and \$40.00 representing the filing fee of \$690.00 and an assignment recording fee of \$40.00 is enclosed.

11. ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3).

12. Additional papers enclosed:

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449, _____ references included
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Please accord this application an application number and filing date.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

William O. Trousdel

William O. Trousdel

Reg. No. 38,637

Dated: August 30, 2000

Customer No. 009629
MORGAN, LEWIS & BOCKIUS LLP
1800 M Street, N.W.
Washington, D.C. 20036
(202) 467-7000

image data to Fourier transform and manipulating transform coefficients in a concentric region indicative of identical frequencies on a frequency space.

Since these additional data embedding techniques are primarily used for the purpose of preventing electronic illegal copy and illegal use of electronic image data, the additional data is embedded in the image data so that an image based on the electronic image data is displayed on a display apparatus with no reduction in the image quality. Hence, once the electronic image data has been printed by a printer, it is difficult to read the additional data embedded in the image data from the image formed on paper after printing.

Therefore, even if additional data is embedded in electronic image data, since the additional data cannot be recognized from the printed-out image, the printed-out image may be copied and distributed, with the result that the copyright of the electronic image data is infringed. To read the additional data from the printed-out image, it is conceivable to embed the additional data in the electronic image data to be distributed, with a higher embedding intensity. However, this approach poses the problem of heavily reducing the image quality of the electronic image data displayed on a display apparatus.

On the other hand, as a conventional technique for embedding additional data in a printed-out image, there is a technique for embedding additional data in a printed image by superimposing patterns having positive and negative amplitudes on the image in yellow ink (Japanese Published Unexamined Patent Application No. Hei 6-113107). However, this technique has the problem that, since no pattern is embedded if all bits have a value of "0" as additional data to be embedded, it is difficult to judge whether additional data is not embedded or additional data with all bits

having a value of "0" is embedded.

As another conventional technique for embedding additional data in a printed image, there is a technique for embedding additional data by code patterns with two types of minute slant patterns associated with additional data bits of "0" and "1" so that the code patterns are detected from an image scanned by a scanner (Japanese Published Unexamined Patent Application No. Hei 4-233677). However, this technique has the problem that, since the code patterns are embedded in black in a white region of the image, the embedded additional data is visible.

Although it is conceivable to embed additional data in a predetermined color component of a color image, it becomes difficult to detect an embedded pattern, depending on a local property (density) of the image. Accordingly, if pattern intensity is increased to enable detection in high-density portions of the image, there might arise the problem that the embedded pattern becomes conspicuous in low-density portions.

SUMMARY OF THE INVENTION

The present invention has been made in view of the above circumstances and provides an image processing apparatus that can embed additional data in an image so that it is visually inconspicuous in a printed-out image and can be detected without fail from scanned image data.

An image processing apparatus of the present invention has: a density value detection part that detects the density value of a predetermined color component of image data representing a nearby image in the vicinity of an image to which additional data is to be added; a pattern decision part that decides a pattern which is larger in area at the higher density value detected

by the density value detection part and has a shape corresponding to the value of the additional data to be added to the image; and a pattern superimposing part that superimposes the pattern decided by the pattern decision part on image data representing the image.

In the image processing apparatus of the above configuration, the density value detection part detects the density value of the predetermined color component of the image data, and the pattern decision part receives the density value. The pattern decision part decides a pattern which is larger in area at the higher density value and has a shape corresponding to the value of the additional data to be added to the image. The pattern superimposing part superimposes the pattern decided by the pattern decision part on the image data. Thereby, additional data which changes in pattern area according to the density of the image data, is visually inconspicuous, and can be read without fail during detection can be superimposed in the image data.

In another aspect of the image processing apparatus of the present invention, the density value detection part detects the sum of weighed density values of color components of the image data.

In still another aspect of the image processing apparatus of the above configuration, the density value detection part detects the sum of weighed density values of color components of the image data, and the pattern decision part receives the sum density value. The pattern decision part decides a pattern which is larger in area at the larger sum of the weighed density values and has a shape corresponding to the value of the additional data to be added to the image. The pattern superimposing part superimposes the pattern decided by the pattern decision part on the image data. Thereby, a pattern set can be decided taking account of the

conspicuousness of each color component of the image data and additional data that is visually inconspicuous and can be accurately read during detection can be superimposed.

BRIEF DESCRIPTION OF THE DRAWINGS

A preferred embodiment of the present invention will be described in detail based on the followings, wherein:

FIG. 1 is a block diagram showing a configuration of an image processing apparatus according to an embodiment of the present invention;

FIG. 2 shows a configuration of the overall system that uses an image processing apparatus of the embodiment;

FIG. 3 is a block diagram showing a first concrete example of a configuration of an additional data embedding unit;

FIG. 4 is a diagram showing an example of patterns stored;

FIG. 5 illustrates a criterion for judging pattern selection;

FIG. 6 is a diagram showing an example of an image waveform when a pattern is embedded in Y component image data;

FIG. 7 is a block diagram showing an example of a concrete configuration of an additional data detection unit;

FIGS. 8A to 8C illustrate detection operations in the additional data detection unit;

FIGS. 9A and 9B show examples of patterns S00 and S01 used in pattern matching;

FIG. 10 is a block diagram showing an example of a concrete configuration of an additional data deletion unit;

FIG. 11 is a block diagram showing a second concrete example of a configuration of the additional data embedding unit;

FIG. 12 is a diagram showing an example of patterns stored;

FIG. 13 illustrates a criterion for judging pattern selection;

FIG. 14 is a block diagram showing a third concrete example of a configuration of the additional data embedding unit;

FIG. 15 illustrates a criterion for judging pattern selection;

FIG. 16 is a block diagram showing a fourth concrete example of a configuration of the additional data embedding unit; and

FIG. 17 is a diagram showing an example of patterns stored.

DESCRIPTION OF THE PREFERRED EMBODIMENT

Hereinafter, an embodiment of the present invention will be described in detail with reference to the accompanying drawings. FIG. 1 is a block diagram showing a configuration of an image processing apparatus according to the embodiment of the present invention.

An image processing apparatus 10 according to the present invention has: an input-output unit 11 that inputs and outputs image data; an additional data detection unit 12 that detects additional data embedded as patterns in the image data; a memory 13 that stores the image data and the additional data; an additional data embedding unit 14 that embeds the additional data as patterns in the image data; an additional data deletion unit 15 that deletes the additional data from the image data; and a control unit 16 that controls the overall apparatus, all of which are connected with each other through a bus line 17.

Additional information represented by the additional data added to the image data may be any information, such as a network address to indicate the location where the image data is stored, and an ID to identify the copyright holder of the image data or image.

FIG. 2 shows a configuration of the overall system that uses the image processing apparatus 10. The system has: a personal computer 21 connected to a network; a display apparatus 22 connected to the computer 21; an image processing apparatus 10 to which image data is afforded from the personal computer 21; a scanner 23 that scans documents and affords the image data to the personal computer 21 via the image processing apparatus 10; and a printer 24 that prints image data outputted from the image processing apparatus 10.

In the system of the above configuration, a user receives image data via the network, stores it inside the personal computer 21, displays it on the display apparatus 22, and if necessary upon taking a look at the displayed image, sends it to the printer 24 via the image processing apparatus 10 for printing on paper. The image processing apparatus 10 embeds additional data in the inputted image data by a method suitable for the printing of the printer 24.

The additional data embedding method suitable for the printing of the printer 24 converts each bit of additional data to a minute slant pattern and embeds the slant pattern data in image data additionally and in superimposed form. By embedding the slant patterns in a way that embeds them only in visually inconspicuous yellow components and roughly places them with some interval, reduction in the quality of an image to be printed can be prevented and the additional data can be easily detected when scanned by the scanner 23.

Next, a description is made of the operation of the image processing apparatus 10 and a concrete configuration of major parts thereof. Image data sent from the personal computer 21 of FIG. 2 is inputted through the input-output unit 11 and is stored in the memory 13. Upon termination of

the input of the image data, the control unit 16 directs the additional data embedding unit 14 to embed additional data.

FIG. 3 is a block diagram showing a first concrete example of a configuration of the additional data embedding unit 14. The additional data embedding unit 14 according to the first concrete example has: a color conversion unit 141; an average calculation unit 142; a pattern storage unit 143; a pattern selection unit 144; and an addition processing unit 145. Image data read in blocks from the memory 13 (see FIG. 1) is inputted to the additional data embedding unit 14.

In the additional data embedding unit 14 of the above configuration, the inputted RGB image data is, in the color conversion unit 141, converted from an RGB color space to a YMCK color space of the printer 24 (see FIG. 2). Of the color components, the Y component is inputted to the average calculation unit 142. In the average calculation unit 142, the average of one block of the image data of the Y component is calculated. The calculated average AVE is inputted to the pattern selection unit 144.

Upon receiving the average AVE of one block of the image data of the Y component outputted from the average calculation unit 142, the pattern selection unit 144 selects a pattern having an area corresponding to the average AVE according to an inputted additional data bit, from the pattern storage unit 143.

FIG. 4 is a diagram showing an example of patterns stored in the pattern storage unit 143. In this example, eight types of slant patterns, S00, S01, S10, S11, S20, S21, S30, and S31, which differ in area and angle from each other, are used. Of these patterns, the patterns S00, S10, S20, and S30 represent an additional data bit of 0, and S01, S11, S21, and S31 represent an additional data bit of 1. The pattern pairs S00 and S01, S10

and S11, S20 and S21, and S30 and S31 constitute one set each, and a total of four sets, eight patterns, are stored. Although four patterns representing identical additional data bits are slant patterns of an identical direction, they are different from each other in the number of pixels (area) constituting the patterns.

Black portions indicating the patterns in the figure contain a positive coefficient value a , slant portions contain a negative coefficient value b , and white portions contain a coefficient 0. The positive coefficient value a and the negative coefficient value b , which represent the amplitude of the patterns, are set in advance.

The size of the patterns is 16×16 , which is equal to the size of a block of image data. In comparison with the block size, portions having positive or negative coefficient values, slantingly placed, are smaller. This is done to make those portions visually inconspicuous.

The judgment as shown in FIG. 5 is used for such a pattern selection in the pattern selection unit 144. TH1, TH2, and TH3 represent threshold values, and there is a relation of $TH1 < TH2 < TH3$. One additional data bit is inputted per block.

When the average AVE is equal to or less than the threshold value TH1, a pattern set including the S00 and S01 patterns having positive coefficient values is selected; when the average AVE is greater than the threshold value TH1 and equal to or less than the threshold value TH2, a pattern set including the S10 and S11 patterns having positive coefficient values is selected; when the average AVE is greater than the threshold value TH2 and equal to or less than the threshold value TH3, a pattern set including the S20 and S21 patterns having negative coefficient values is selected; and when the average AVE is greater than the threshold value TH3,

a pattern set including the S30 and S31 patterns having negative coefficient values is selected. Moreover, according to the value of inputted additional data bit, one of two patterns contained in a selected pattern set is finally selected.

If the density value of the yellow component is higher, a pattern having more pixels (larger area) to constitute it is selected. This is for the following reason of property. In a region having a low density, the entire region is likely to appear yellow because of the pattern but the embedded pattern is relatively easily detected, while, in a region having a high density, the embedded pattern is not so conspicuous but is relatively difficult to detect. The area of a pattern is enlarged or reduced by changing the width of the pattern without collapsing its shape.

The addition processing unit 145 adds the pattern selected in the pattern selection unit 144 to the Y component of the image data and outputs the result. If the result of the addition is 255 or greater, 255 is outputted, and if less than 0, 0 is outputted. By the addition processing, one bit of additional data is embedded in the image data.

FIG. 6 is a diagram showing an example of an image waveform when a pattern is embedded in an image of which the Y component image data is gradually increasing (yellow gradation). As the gradation level and the gradation level associated with the threshold values TH1, TH2, and TH3 become higher, the waveform becomes wider in the fast scanning direction of the patterns.

In this way, by superimposing a pattern (additional data) having an area different according to the average AVE of one block of the Y component on the image data of the Y component, in an image printed out by the printer 24, even if the density is high, the pattern embedded in the Y

In the additional data detection unit 12 of the above configuration, the inputted image data is inputted to the color conversion unit 122 and the

white pixel counting unit 123 via the multiplexer 121. The image data inputted to the color conversion unit 122 is converted from the RGB color space to the YMC color space in the color conversion unit 122 and is separated to the Y component and the MC components before being outputted.

The Y component is supplied to the edge detection unit 124y for edge detection filtering. Thereafter, enhancement filtering is performed to enhance a slant pattern in the slant pattern enhancement unit 126, and an edge image Yedge is created and supplied to the binarization processing unit 127. On the other hand, the image data of the MC components is in parallel subjected to edge detection filtering by the two edge detection units 124m and 124c and the greater of pixel values is selected by the maximum value detection unit 125, whereby edge image data MCedge is created and supplied to the binarization processing unit 127.

In the binarization processing unit 127, the following binarization processing is performed based on the two inputted pieces Yedge and MCedge of image data and two preset threshold values THa and THb. That is, if the edge image data Yedge is greater than the threshold value THa and the edge image data MCedge is smaller than the threshold value THb, an image value "1", and otherwise an image value "0" is outputted.

The binary image data thus created is slant pattern image data with only slant edges of the Y component extracted. The slant pattern image data is outputted from the additional data detection unit 12 and is temporarily stored in the memory 13. In parallel with the above processing, the white pixel counting unit 123 judges whether each pixel value of the inputted image data is a white pixel or not, by comparing it with a threshold value, counts the number of white pixels, and outputs the count value to the

control unit 16.

Next, the slant pattern image data stored in the memory 13 is inputted to the additional data detection unit 12. The slant pattern image data is inputted to the pattern detection unit 128 via the multiplexer 121 to perform pattern position calculation processing as described below.

First, a projection distribution $T_x(i)$ in the fast-scanning direction of inputted binary slant pattern image data $P(i,j)$ and a projection distribution $T_y(j)$ in the slow-scanning direction are obtained by the following expressions.

$$T_x(i) = \sum_j (P(i,j))$$

$$Ty(j) = \sum_i (P(i,j))$$

Thereafter, by convolutionally adding the obtained projection distributions at a 16-pixel interval, which is the same pixel interval as the block size, convolutional projection distributions $U_x(n)$ and $U_y(m)$ are obtained.

$$U_x(n) = \sum_k (T_x(n + 16 \cdot k)), \text{ where } n = 1, 2, \dots, 16$$

$$U_y(m) = \sum_k (T_y(m + 16 \cdot k)), \text{ where } n = 1, 2, \dots, 16$$

The maximum values of the obtained convolutional projection distributions in the fast-scanning direction and slow-scanning direction are respectively the starting coordinates of pattern positions in the fast-scanning direction and slow-scanning direction, and positions 16 pixels apart from the coordinates are pattern position coordinates. Obtained coordinate values are stored in the coordinate value buffer 129 and are at the same time outputted from the additional data detection unit 35 and are stored in a coordinate storage area on the memory 13.

If the image data inputted from the scanner 23 is image data added with additional data, obtained projection distributions are cyclic

distributions having crests and troughs continuously repeated at a fixed interval, that is, a 16-pixel interval, as shown in FIG. 8A. The convolutional distributions are in the shape of a mountain, as shown in FIG. 8B, and the maximum values correspond to pattern positions. If the coordinates, as a starting point, are added 16 pixels at a time in the fast-scanning direction and the slow-scanning direction, coordinate values of other patterns are obtained as shown in FIG. 8C.

Thereafter, the slant pattern image data stored in the memory 13 is inputted to the additional data detection unit 12. In obtained pattern position coordinates, additional data is detected by pattern matching processing in the pattern matching unit 130.

The pattern matching unit 130 reads pattern position coordinates from the coordinate value buffer 129 and reads out one block of the slant pattern image data (binary image) B, stored in the memory 13, around the coordinates. Matching values M0 and M1 of two patterns are calculated on the read block of the image data B by the expressions below. FIG. 9 shows patterns S00 and S01 used. A black point in the figure designates a value 1 and a white point designates a value 0.

$$M0 = \sum_{ij} (\text{AND}(B(i,j), S0(i,j)))$$

$$M1 = \sum_{ij} (\text{AND}(B(i,j), S1(i,j)))$$

The matching values M0 and M1 obtained by the above expressions and the preset threshold value THc are compared, and if the matching value M0 is greater than the matching value M1 and the threshold value TH3, a value "0" is outputted as an additional data bit; otherwise, a value "1" is outputted as an additional data bit. These are stored in an additional data storage area on memory 34. The above pattern matching processing is performed for all pattern position coordinates and then the additional data

detection processing terminates.

After the termination of the additional data detection processing by the additional data detection unit 12, the control unit 16 checks the count value of white pixels counted by the additional data detection unit 12. If the number of white pixels is equal to or greater than a preset threshold value, since white background portions occupy a large amount of image data (hereinafter referred to as scanned-in image data) inputted from a scanner 43, judging that yellow slant patterns existing in the white background portions would appear conspicuous when the image is displayed on the display apparatus 22, the control unit 16 directs the additional data deletion unit 15 to delete the additional data, that is, the slant patterns from the scanned-in image data.

On the other hand, if the number of white pixels is less than the preset threshold value, the control unit 16 performs control so as to transfer the image data and additional data stored in the memory 13 from the input-output unit 11 to the personal computer 21.

FIG. 10 shows a concrete configuration of the additional data deletion unit 15. The additional data deletion unit 15 has: a color conversion unit 151; a smoothing unit 152; a synthesizing unit 153; a selector 154; and a color conversion unit 155. The additional data deletion unit 15 reads the previously obtained pattern position coordinates from the coordinate storage area on the memory 13 and reads out one block of the scanned-in image data, stored in the memory 13, around the coordinate value.

In the additional data deletion unit 15 of the above configuration, the read block of the image data is converted from the RGB color space to the YMC color space in the color conversion unit 151 and is separated to the

Y component and the MC components. While being directly supplied to the synthesizing unit 153, the Y component is subjected to smoothing filtering in the smoothing unit 152 before being supplied to the synthesizing unit 153.

To the synthesizing unit 153 is inputted either of patterns S0 and S1 selected by the selector 154, based on the additional data bit read from the memory 13. The synthesizing unit 153 replaces pixels of the read block of the image data, corresponding to nonzero coefficients within the pattern, by pixels of the image data having been subjected to the smoothing filtering, and outputs the replaced image data. FIGS. 9A and 9B show the patterns S0 and S1, respectively.

Thereafter, the color conversion unit 155 performs color conversion processing again to restore the original image data of the RGB space. The outputted image data is stored in the memory 13. The above processing is repeated for all blocks to delete slant patterns from the scanned-in image data stored in the memory 13.

After the slant pattern deletion processing, the control unit 16 performs control so as to transfer the image data and additional data stored in the memory 13 from the input-output unit 11 to the personal computer 21.

Next, FIG. 11 shows a second concrete example of a configuration of the additional data embedding unit. An additional data embedding unit 14' according to the second concrete example has: a color conversion unit 141; an average calculation unit 142'; a weight assigning unit 146; a maximum value calculation unit 147; a pattern storage unit 143; a pattern selection unit 144; and an addition-subtraction processing unit 148. Image data read in blocks from the memory 13 (see FIG. 1) is inputted to the additional data embedding unit 14'.

In the additional data embedding unit 14' of the above configuration, the inputted RGB image data is, in the color conversion unit 141, converted from the RGB color space to the YMCK color space of the printer 24 (see FIG. 2). The image data of the YMCK components and the R component is inputted to the average calculation unit 142' and the average of one block of the image data is calculated for each of the YMCKR color components.

The calculated average of each of the YMCKR color components is inputted to the weight assigning unit 146, where a weight is assigned to the average of each of the color components. For example, the following weight coefficients are used taking account of whether patterns are conspicuous or are easy to detect, depending on the color of an image region where the patterns are to be embedded.

Y component ... Weight coefficient (1.0)

M component ... Weight coefficient (1.0)

C component ... Weight coefficient (0.5)

K component ... Weight coefficient (1.5)

R component ... Weight coefficient (1.5)

That is, in high-density regions such as red (R) and black (K) regions, where detection is difficult, greater weight coefficients are assigned so that wider patterns are selected. On the other hand, in cyan regions, since patterns are conspicuous and easy to detect, smaller weight coefficients are assigned so that narrower patterns are selected.

The weighed averages of each color component in the weight assigning unit 146 are inputted to the maximum value calculation unit 147, where the maximum value MAX of the averages (having been assigned weights) of the color components is calculated. The maximum value MAX is inputted to the pattern selection unit 144.

The pattern selection unit 144 receives the maximum value MAX outputted from the maximum value calculation unit 147 and selects a pattern having an area corresponding to the maximum value MAX according to an inputted additional data bit, from the pattern storage unit 143.

FIG. 12 is a diagram showing an example of patterns stored in the pattern storage unit 143. In this example, eight types of slant patterns, S00', S01', S10', S11', S20', S21', S30', and S31', which differ in area and angle, are used. The black portions indicating the patterns in the figure contain a positive coefficient value a , and the white portions contain a coefficient 0. The positive coefficient value a , which indicates the amplitude of the patterns, is set in advance.

The size of the patterns is 16×16 , which is equal to the size of a block of image data. In comparison with the block size, portions having positive or negative coefficient values, slantingly placed, are smaller. This is done to make those portions visually inconspicuous.

The pattern selection unit 144 selects the patterns, using the judgment as shown in FIG. 13. TH1, TH2, and TH3 denote threshold values, and there is a relation of $TH1 < TH2 < TH3$. One additional data bit is inputted per block.

When the maximum value MAX of the averages of color components of one block is equal to or less than the threshold value TH1, a pattern set including patterns S00 and S01 is selected; when the maximum value MAX is greater than the threshold value TH1 and equal to or less than the threshold value TH2, a pattern set including patterns S10 and S11 is selected; when the maximum value MAX is greater than the threshold value TH2 and equal to or less than the threshold value TH3, a pattern set including patterns S20 and S21 is selected; and when the maximum value

MAX is greater than the threshold value TH3, a pattern set including patterns S30 and S31 is selected. Moreover, according to the value of an inputted additional data bit, either of two patterns contained in a selected pattern set is finally selected.

If the maximum value MAX of the averages of color components is higher, that is, the density value of an area in which a pattern is to be embedded is higher, a pattern having more pixels (larger area) to constitute it is selected. This is for the following reason of property. In a region having a low density, the entire region is likely to appear yellow because of the pattern but the embedded pattern is relatively easily detected, while, in region having a high density, the embedded pattern is not so conspicuous but is relatively difficult to detect. The area of a pattern is enlarged or reduced by changing the width of the pattern without collapsing its shape.

The addition-subtraction processing unit 148 adds or subtracts the additional data based on the pattern selected in the pattern selection unit 144 to or from the Y component of the image data, and outputs the result. That is, if the value of the Y component is less than 128, the pattern coefficient value a is added (positive amplitude), and if equal to or greater than 128, the pattern coefficient value a is subtracted (negative amplitude). No operation is performed for pixels corresponding to white portions. If the result of the adding or subtracting becomes equal to or greater than 255, 255 is outputted, and if less than 0, 0 is outputted.

In this way, by superimposing a pattern (additional data) having a area different according to the maximum value MAX of the averages of one block of the color components on the image data of the Y component, in an image printed out by the printer 24, even if the density is high, the slant edge pattern embedded in the Y component can be detected without fail, while, if

the density is low, reduction in the image quality can be prevented by not making the slant pattern excessively conspicuous.

The image data of the Y component added with the additional data, outputted from the addition-subtraction processing unit 148, is stored in the memory 13 (see FIG. 1) along with the image data of MCK components outputted from the color conversion unit 141. The above processing is repeated for all blocks until processing of one page terminates, in which time the image data stored in the memory 13 is outputted to the printer 24 (see FIG. 2) for printing on paper.

Although, in the above second concrete example, a pattern is selected according to the maximum value of the weighed averages of color components, a pattern may be selected according to the sum of weighed density values of color components.

Next, FIG. 14 shows a third concrete example of a configuration of the additional data embedding unit. An additional data embedding unit 14" according to the third concrete example has: the color conversion unit 141; the average calculation unit 142'; the weight assigning unit 146; a sum density calculation unit 149; an intensity modulation unit 150; a pattern storage unit 143; a pattern selection unit 144; and an addition processing unit 145. Image data read in blocks from the memory 13 (see FIG. 1) is inputted to the additional data embedding unit 14'.

In the additional data embedding unit 14" of the above configuration, the inputted RGB image data is, in the color conversion unit 141, converted from the RGB color space to the YMCK color space of the printer 24 (see FIG. 2). The image data of the YMCK components and the R component is inputted to the average calculation unit 142' and the average of one block of the image data is calculated for each of the YMCKR color

components.

The average AVE of the Y component outputted from the average calculation unit 142' is inputted to the pattern selection unit 144, where a pattern is selected according to the average AVE. In this example, a selection is made from the patterns used in the first concrete example shown in FIG. 4. That is, the judgment shown in FIG. 15 is used for such a pattern selection in the pattern selection unit 144. TH1, TH2, and TH3 denote threshold values, and there is a relation of $TH1 < TH2 < TH3$. One additional data bit is inputted per block.

When the average AVE is equal to or less than the threshold value TH1, a pattern set including the S00 and S01 patterns having positive coefficient values is selected; when the average AVE is greater than the threshold value TH1 and equal to or less than the threshold value TH2, a pattern set including the S10 and S11 patterns having positive coefficient values is selected; when the average AVE is greater than the threshold value TH2 and equal to or less than the threshold value TH3, a pattern set including the S20 and S21 patterns having negative coefficient values is selected; and when the average AVE is greater than the threshold value TH3, a pattern set including the S30 and S31 patterns having negative coefficient values is selected. Moreover, according to the value of inputted additional data bit, one of two patterns contained in a selected pattern set is finally selected.

If the density value of the yellow component is higher, a pattern having more pixels (larger area) to constitute it is selected. This is for the following reason of property. In a region having a low density, the entire region is likely to appear yellow because of the pattern but the embedded pattern is relatively easily detected, while, in a region having a high density,

R component ... Weight coefficient (1.5)

PAGE . 26

Also, the smaller the sum density value, the less conspicuous the additional pattern in the background, preventing reduction in the image quality.

The addition processing unit 145 adds the additional data based on the pattern selected in the pattern selection unit 144 to the Y component of the image data, and outputs the result. If the result of the adding becomes equal to or greater than 255, 255 is outputted, and if less than 0, 0 is outputted.

The image data of the Y component added with the additional data, outputted from the addition processing unit 145, is stored in the memory 13 (see FIG. 1) along with the image data of MCK components outputted from the color conversion unit 141. The above processing is repeated for all blocks until processing of one page terminates, in which time the image data stored in the memory 13 is outputted to the printer 24 (see FIG. 2) for printing on paper.

Although, in the above embodiment, slant edge patterns are used as patterns, the present invention is not limited to the slant edge patterns; other patterns, e.g., minute vertical line and horizontal line patterns, may be used so long as they are visually inconspicuous on images printed on paper and easy to detect. Any information, in addition to the information described in the above embodiment, may be embedded.

Next, FIG. 14 shows a fourth concrete example of a configuration of the additional data embedding unit. An additional data embedding unit 14''' according to the fourth concrete example has: the color conversion unit 141; the average calculation unit 142; a pattern storage unit 143'; a pattern selection unit 144'; a pattern area modulation unit 160; and the addition processing unit 148. Image data read in blocks from the memory 13 (see FIG. 1) is inputted to the additional data embedding unit 14'''.

In the additional data embedding unit 14''' of the above configuration, the inputted RGB image data is, in the color conversion unit 141, converted from the RGB color space to the YMCK color space of the printer 24 (see FIG. 2). The Y component is inputted to the average calculation unit 142. In the average calculation unit 142, the average of one block of the image data of the Y component is calculated. The calculated average AVE is inputted to the pattern area modulation unit 160.

In parallel with the above operation, one bit of additional data is inputted to the pattern selection unit 144'. The pattern selection unit 144' selects and outputs a corresponding pattern according to the value of the inputted additional data.

FIG. 17 is a diagram showing an example of patterns stored in the pattern storage unit 143'. The pattern selection unit 144' selects the pattern S10 when the value of the inputted additional data is 0, and selects the pattern S11 when the value of the additional data is 1. The selected pattern is outputted to the pattern area modulation unit 160.

The pattern area modulation unit 160 receives the average AVE of one block of the image data of the Y component, inputted from the average calculation unit 142, and modulates the pattern inputted from the pattern storage unit 143'.

Specifically, the average AVE of one block of the image data of the Y component is compared with the two threshold values TH1 and TH2, and if the average AVE is equal to or less than the threshold value TH1, area modulation is not performed and the inputted pattern is outputted without modification.

If the average AVE of one block of the image data of the Y component is greater than the threshold value TH1 and equal to or less than

the threshold value TH2, a new pattern is created by shifting the inputted pattern one pixel to the right and area modulation is performed in a manner that makes the pattern wider by one pixel in a horizontal direction by ORing it with the original pattern, and then the pattern is outputted.

If the average AVE of one block of the image data of the Y component is greater than the threshold value TH2, a new pattern is created by shifting the inputted pattern one pixel to the right and area modulation is performed in a manner that makes the pattern wider by two pixels in a horizontal direction by twice repeating ORing it with the original pattern, and then the pattern is outputted. There is a relation of $TH1 < TH2$ between the two threshold values TH1 and TH2. One additional data bit is inputted per block.

The addition-subtraction processing unit 148 adds or subtracts the additional data based on the pattern selected in the pattern selection unit 144 to or from the Y component of the image data, and outputs the result. That is, if the value of the Y component is less than 128, the pattern coefficient value a is added (positive amplitude), and if equal to or greater than 128, the pattern coefficient value a is subtracted (negative amplitude). No operation is performed for pixels corresponding to white portions. If the result of the adding or subtracting becomes equal to or greater than 255, 255 is outputted, and if less than 0, 0 is outputted.

In this way, by superimposing a pattern (additional data) modulated in area according to the averages AVE of one block of the Y component on the image data of the Y component, in an image printed out by the printer 24, even if the density is high, the pattern embedded in the Y component can be detected without fail, while, if the density is low, reduction in the image quality can be prevented by not making the pattern excessively conspicuous.

[illegible][illegible]

	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372	2373	2374	2375	2376	2377	2378	2379	2380	2381	2382	2383	2384	2385	2386	2387	2388	2389	2390	2391	2392	2393	2394	2395	2396	2397	2398	2399	2400	2401	2402	2403	2404	2405	2406	2407	2408	2409	2410	2411	2412	2413	2414	2415	2416	2417	2418	2419	2420	2421	2422	2423	2424	2425	2426	2427	2428	2429	2430	2431	2432	2
--	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	---

[illegible]

What Is Claimed Is:

1. An image processing apparatus, comprising:

a density value detection part that detects a density value of a predetermined color component of image data representing a nearby image in the vicinity of an image to which additional data is to be added;

a pattern decision part that decides a pattern which is larger in an area at the higher density value detected by the density value detection part and has a shape corresponding to a value of the additional data to be added to the image; and

a pattern superimposing part that superimposes the pattern decided by the pattern decision part on image data representing the image.

2. The image processing apparatus according to claim 1, further comprising a pattern storage part that stores plural patterns having different shapes and areas,

wherein the pattern decision part selects a pattern from the patterns stored in the pattern storage part.

3. The image processing apparatus according to claim 1, further comprising an area changing part that changes the area of a pattern,

wherein the pattern decision part makes a decision by changing the area of a pattern having a shape corresponding to the value of additional data by the area changing part.

4. The image processing apparatus according to claim 1, wherein the pattern decision part decides a wider pattern at the higher density value detected by the density value detection part.

5. The image processing apparatus according to claim 1, wherein the density value detection part detects a density value of a yellow

component of the image data.

6. The image processing apparatus according to claim 1, wherein the density value detection part detects a sum of weighed density values of the respective color components of the image data.

7. The image processing apparatus according to claim 6, wherein the pattern superimposing part modulates amplitude of the pattern corresponding to the value of additional data according to the sum of weighed density values of the color components of the image data before superimposing the pattern.

8. The image processing apparatus according to claim 6, wherein, of the color components, a cyan color is assigned a lower weight than other color components to find the sum of the weighed density values.

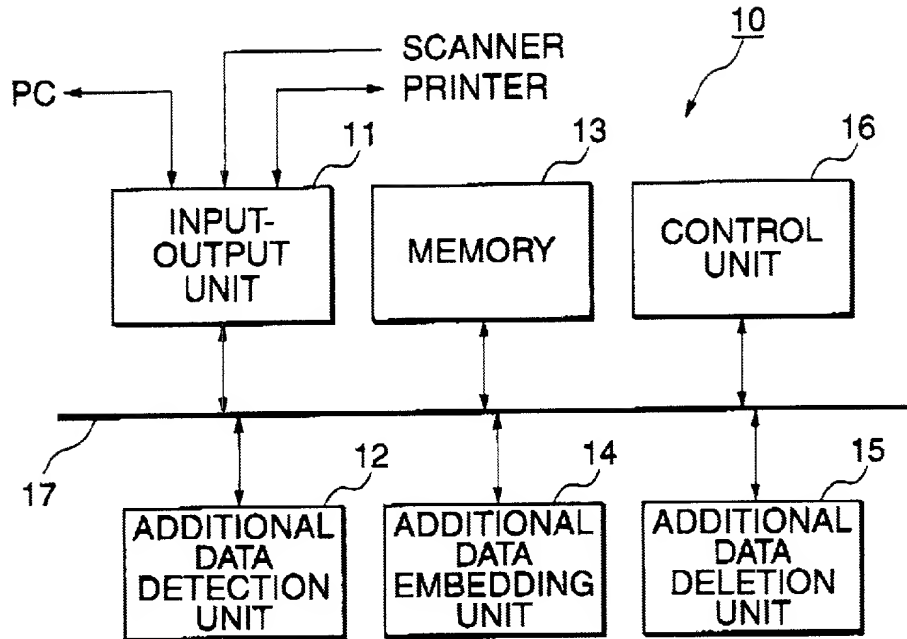
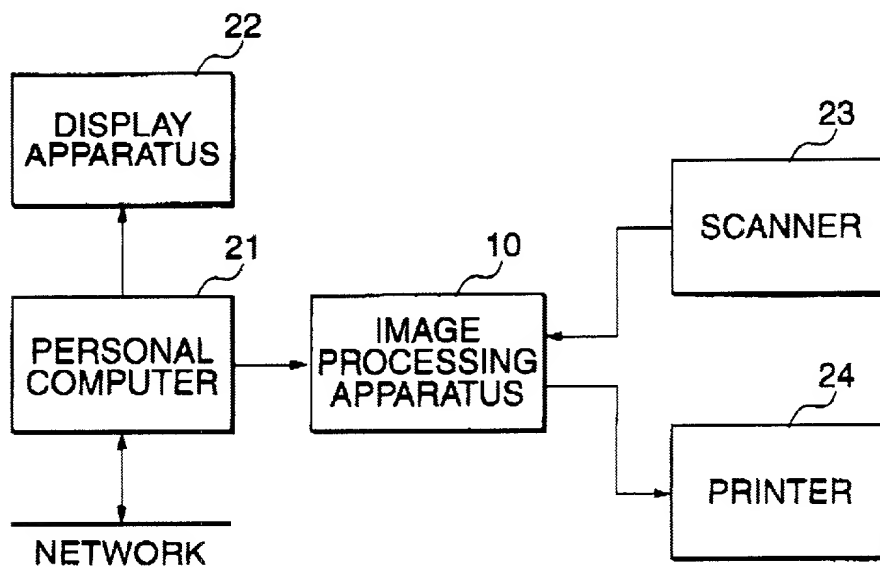
9. The image processing apparatus according to claim 6, wherein, of the color components, a black or red color is assigned a higher weight than other color components to find the sum of the weighed density values.

ABSTRACT OF THE DISCLOSURE

Additional data is embedded in a printed image in a way that makes the additional data unrecognizable to eyes and recognizable without fail by an image input apparatus. An image processing apparatus of the present invention has: an average calculation unit as a density value detection part that detects the density value of a predetermined color component of image data representing a nearby image in the vicinity of an image to which additional data is to be added; a pattern selection unit that decides a pattern which is larger in area at the larger density value detected by the average calculation unit and has a shape corresponding to the value of the additional data to be added to the image; and an addition processing unit that superimposes the pattern decided by the pattern selection unit on image data representing the image.

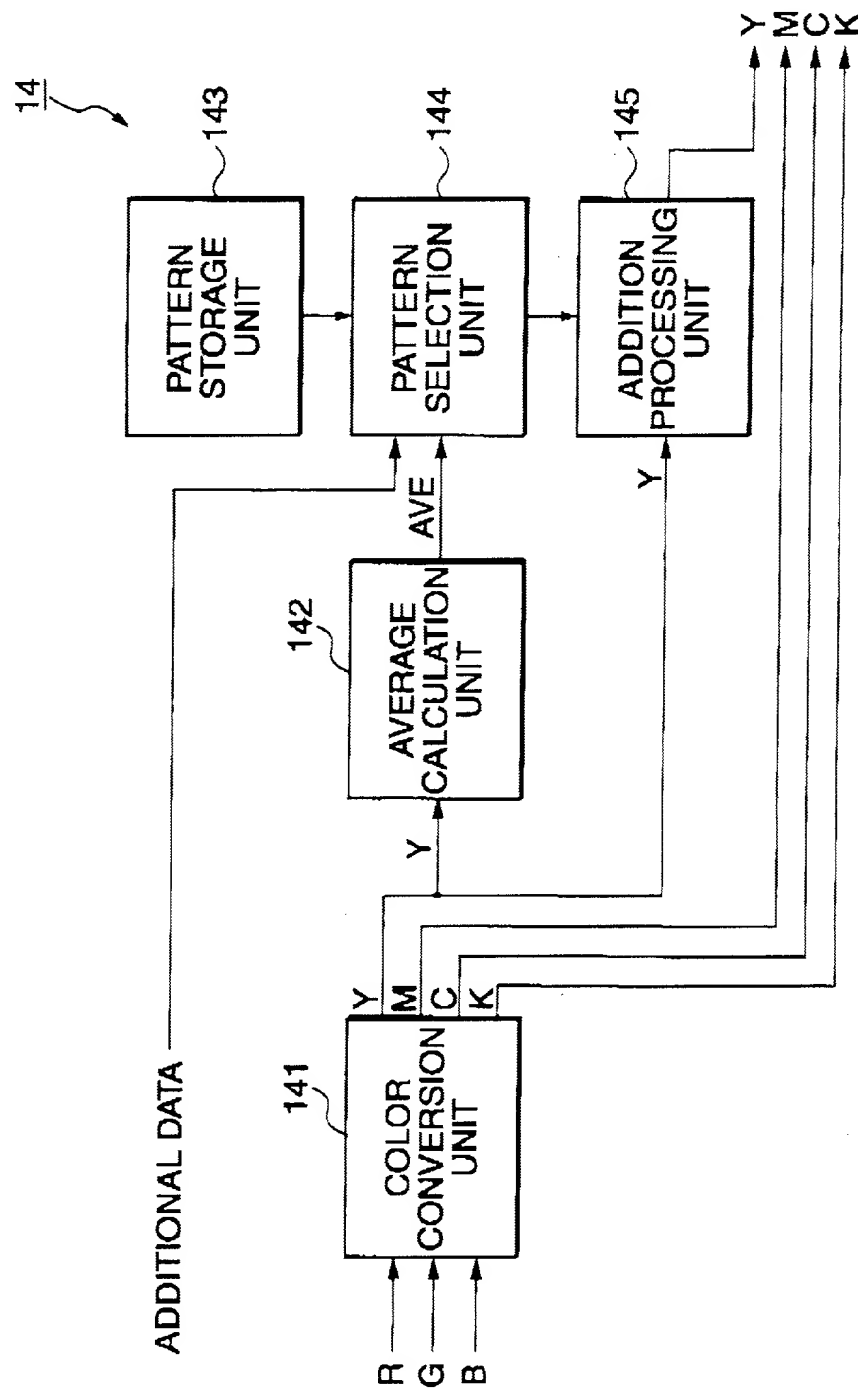
30

1/15

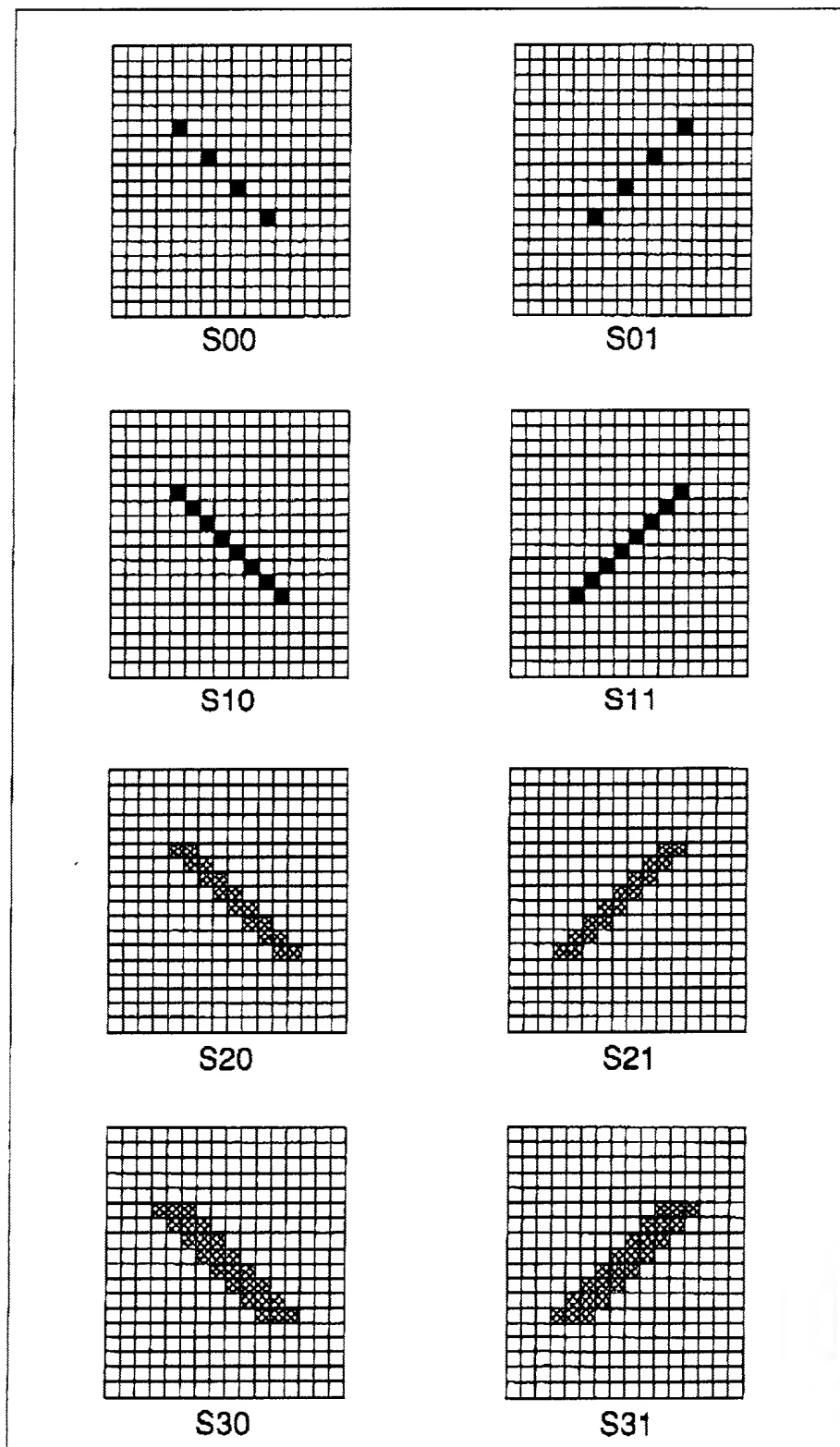
FIG.1**FIG.2**

2/15

FIG.3



3/15
FIG.4

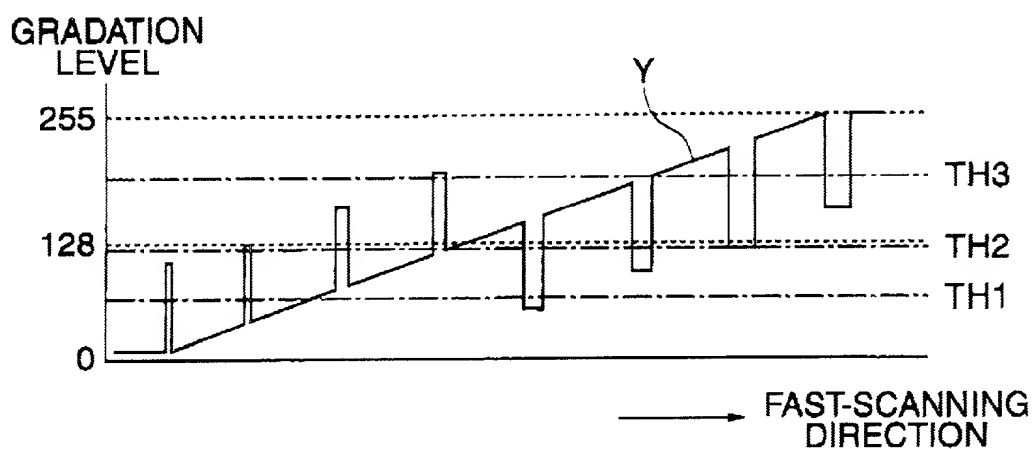


000000"63905660

4/15

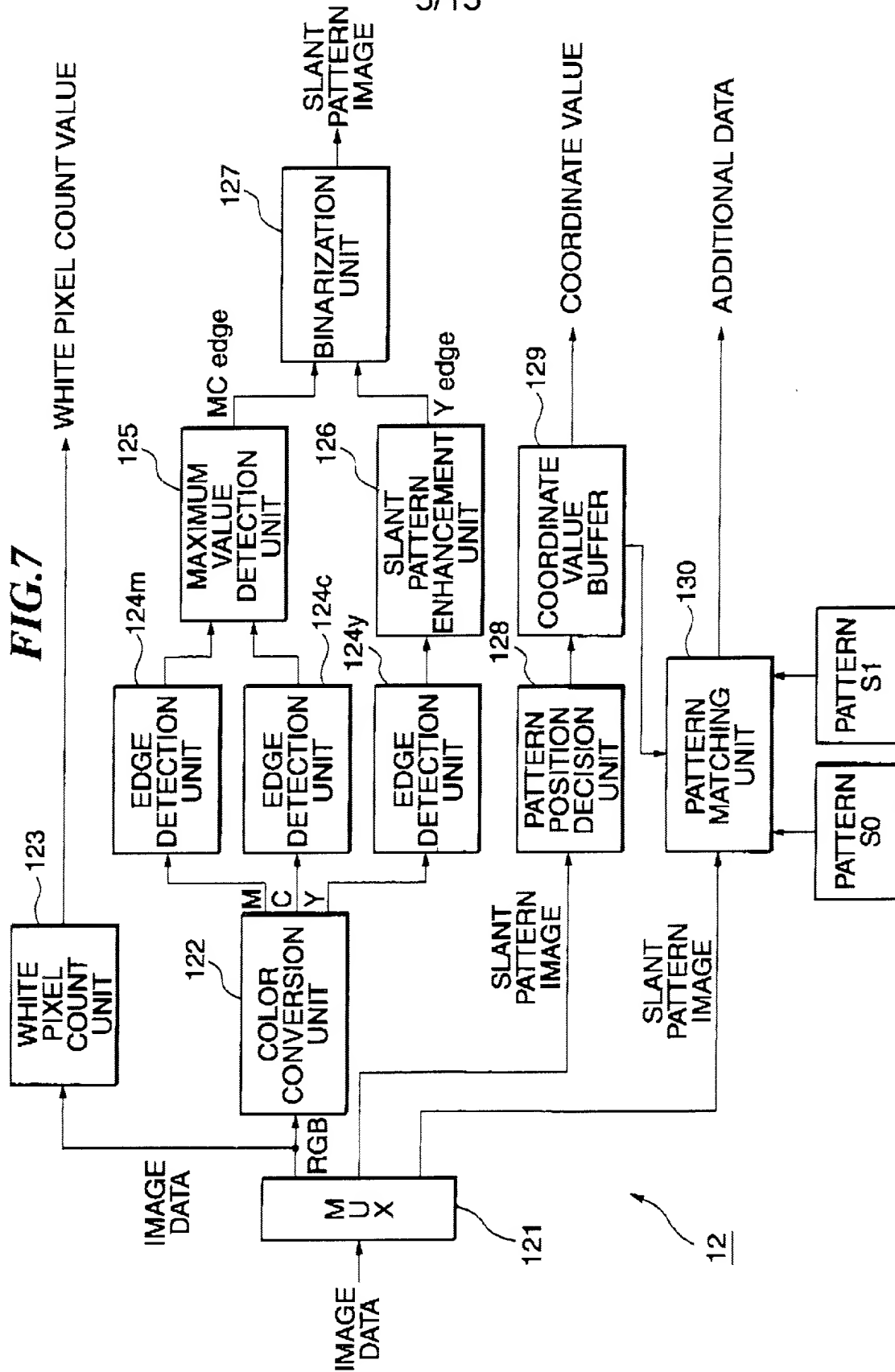
FIG.5

AVERAGE AVE	ADDITIONAL DATA BIT	PATTERN SELECTED
$AVE \leq TH1$	0	S00
	1	S01
$TH1 < AVE \leq TH2$	0	S10
	1	S11
$TH2 < AVE \leq TH3$	0	S20
	1	S21
$TH3 < AVE$	0	S30
	1	S31

FIG.6

5/15

FIG.7



6/15

FIG.8B

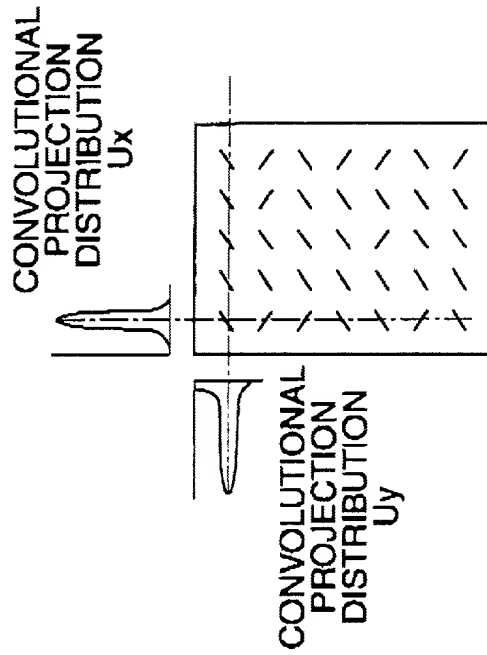


FIG.8A

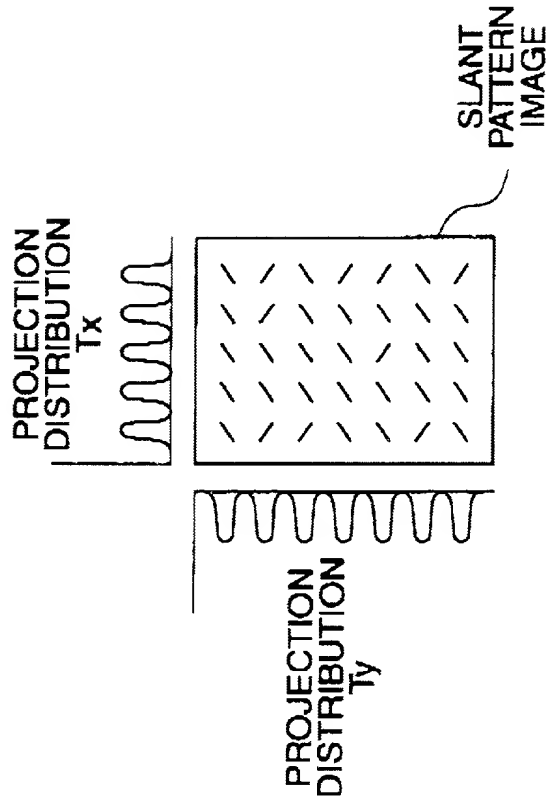
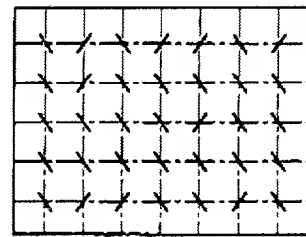


FIG.8C

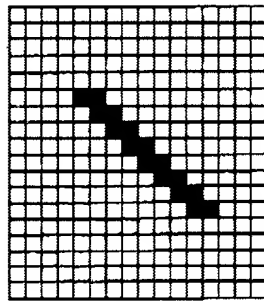


000000" 6305350



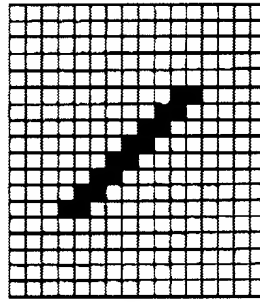
7/15

FIG.9A



S0

FIG.9B



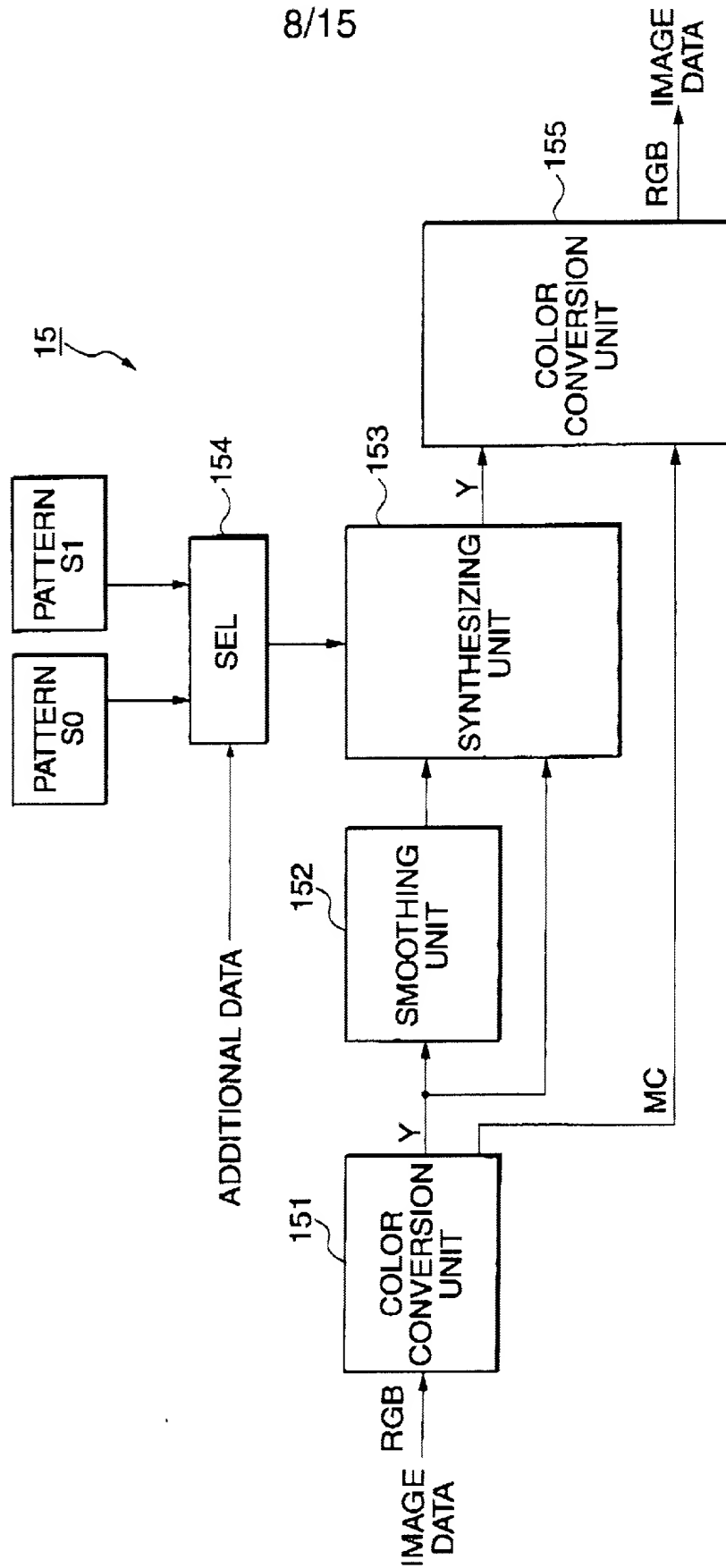
S1

000E80"69905950



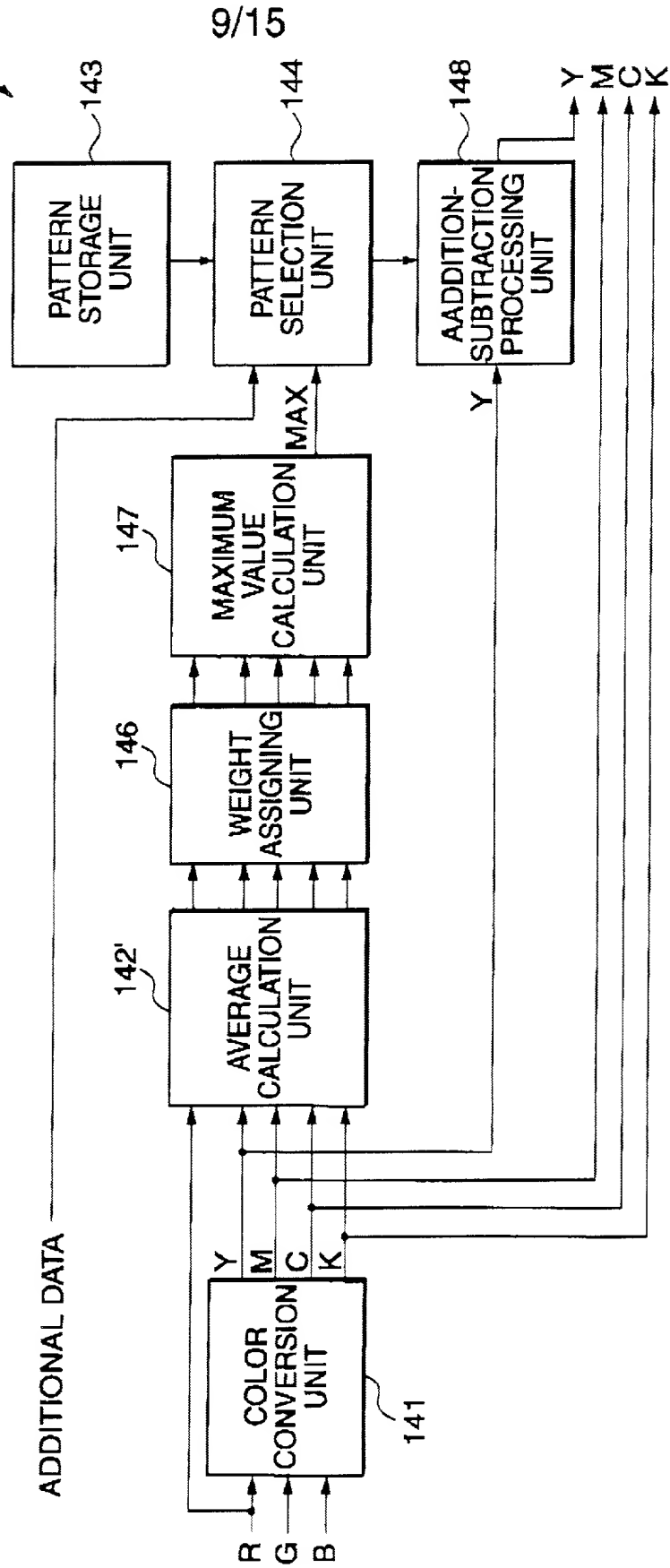
8/15

FIG.10

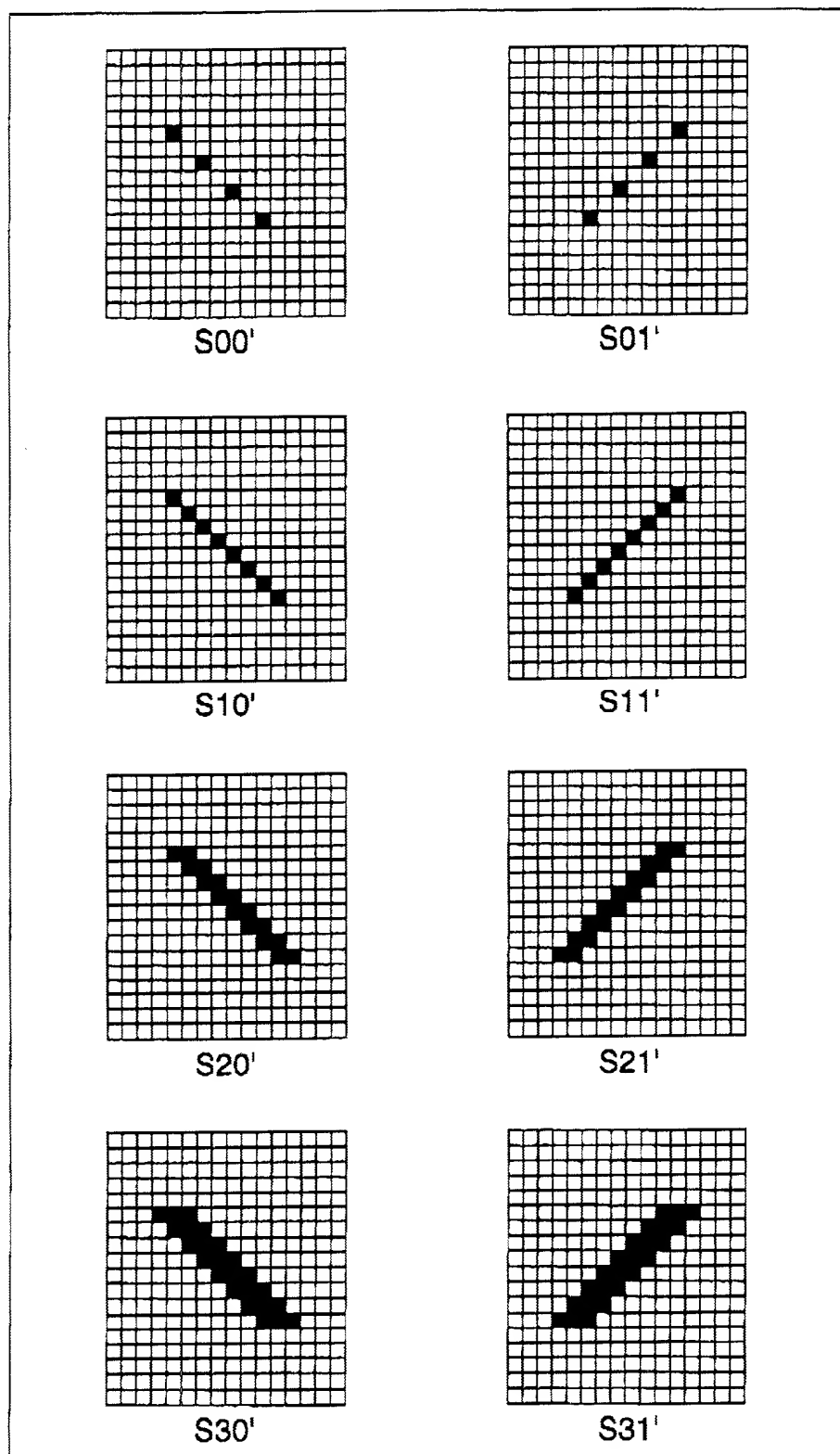


000000"69905960

FIG.11



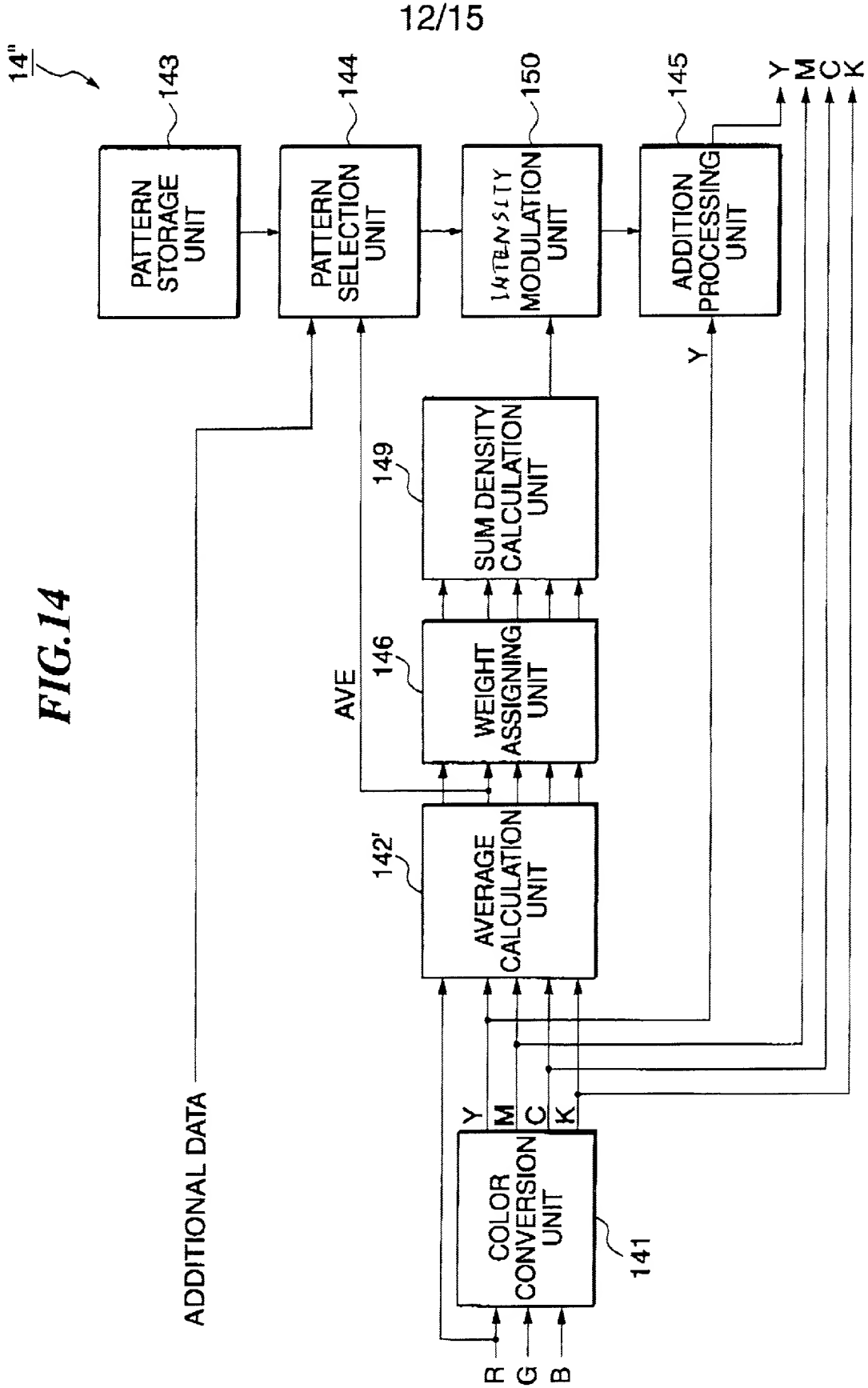
10/15
FIG.12



000000"6305650

CODED" 5930560

FIG.14



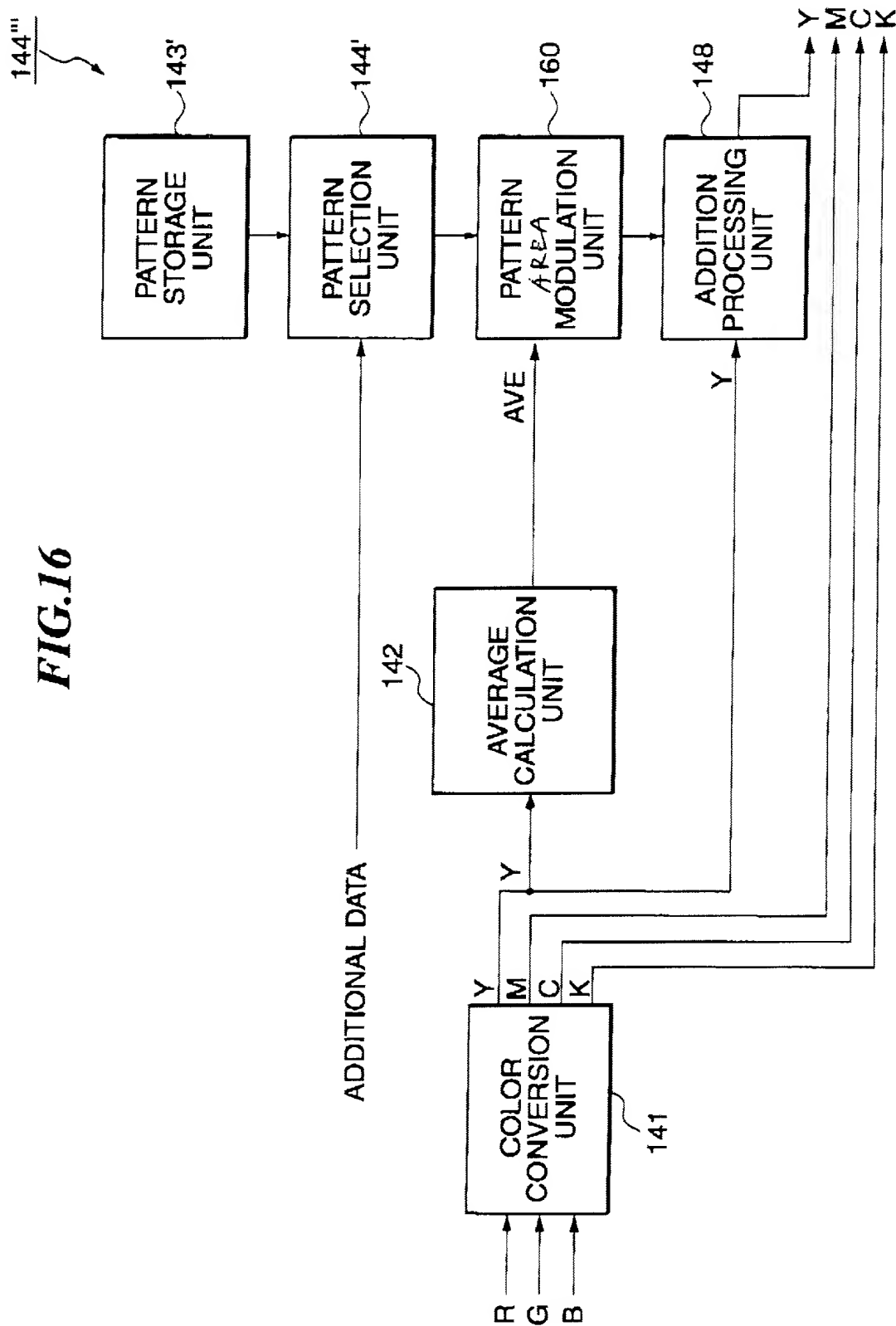
13/15

FIG.15

AVERAGE AVE	ADDITIONAL DATA BIT	PATTERN SELECTED
$AVE \leq TH1$	0	S00
	1	S01
$TH1 < AVE \leq TH2$	0	S10
	1	S11
$TH2 < AVE \leq TH3$	0	S20
	1	S21
$TH3 < AVE$	0	S30
	1	S31

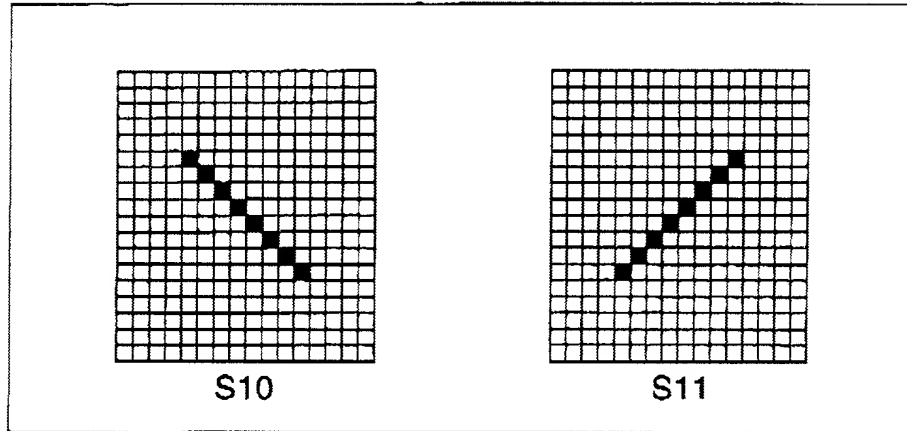
000000"69905960

14/15



000000" 69305960

15/15

FIG.17

000000"00000000

Docket No.: _____

APPLICATION FOR UNITED STATES PATENT DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; that I verily believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

IMAGE PROCESSING APPARATUS

described and claimed in the specification:

Check one

- *a. ☒ attached hereto.
b. ☐ filed on _____ as Application Serial No. _____ and amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

Under Title 35 U.S. Code § 119, the priority benefits of the following foreign application(s) and/or United States provisional application(s) filed within one year prior to this application are hereby claimed:

Japanese Patent Application No. 11-309149, filed on October 29, 1999

The following application(s) for patent or inventor's certificate on this invention were filed in countries foreign to the United States of America either (a) more than one year prior to this application, or (b) before the filing date of the above-named foreign priority application(s) and/or United States provisional application(s):

As a named inventor, I hereby appoint the registered practitioners of Morgan, Lewis & Bockius LLP included in the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number.

Customer Number: 009629

I hereby declare that I have reviewed and understand the contents of this Declaration, and that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Typewritten Full Name
of Sole or First inventor:

Junichi	Matsunoshita	
Given Name	Middle Initial	Family Name
<i>Junichi</i> <i>Matsunoshita</i>		
<i>7</i> <i>12</i> <i>2000</i>		
	Month	Day Year
Residence: Ebina-shi	Kanagawa	Japan
City	State of Province	Country
Citizenship: Japan		
Post Office Address: c/o Fuji Xerox Co., Ltd., 2274, Hongo,		
(Insert complete mailing address, including country)	Ebina-shi, Kanagawa, Japan	

*This form may be executed only when attached to the specification (including claims) at the end thereof if Box a. is checked.

**Note to Inventor: Please sign name exactly as it appears above and insert the actual date of signing.

IF THERE IS MORE THAN ONE INVENTOR USE PAGE 2 AND PLACE AN "X" HERE ☐